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TECH CENTER 1600/2900 PATENT ATTORNEY DOCKET NO.50125/051401

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Jens Schletter

Art Unit:

Serial No.:

10/088,248

Examiner:

Filed:

March 15, 2002

Customer No.:

21559

Title:

PHARMACEUTICAL COMPOSITION IN THE FORM OF A

NUCLEIC ACID/LIPID COMPLEX, ITS PRODUCTION AND USE IN

GENE THERAPY

Assistant Commissioner For Patents Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

Submission of this statement is not a representation that a search has been made, nor is information included in this statement an admission that the information is material to patentability.

This statement is being filed before the receipt of a first Office action on the merits.

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If there are any other charges, or any credits, please apply them to Deposit Account No. 03-2095.

Respectfully submitted,

Date: 8 October 2002

Caren L. Elbing, Ph. D

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Telephone: 617-428-0200 Facsimile: 617-428-7045

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SUBSTITUTE FORM PTO-1449 U.S. DEPARTME (MODIFIED) PATENT AND T			ENT OF COMMERCE RADEMARK OFFICE	Attorney Docket No.		50125/051001	
				Serial No.		10/088,248	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				Applicant Filing Date		Sheet 1 of 4 50125/051001 10/088,248 Jens Schletter March 15, 2002 Not Assigned Yet	
(37 C.F.R. §1.	98(b))			IDS Filed		October 9	
			U.S. PATENTS				(£)
Examiner's Initials	Patent Number	Issue Date	Patentee		Class	Subclass	Filing Date (If Appropriate)
	6,200,304	Mar. 13, 2001	Schrader		604	509	\ <u>\</u>
	6,156,338	Dec. 5, 2000	Vacus et al.		424	450	
	6,149,936	Nov. 21, 2000	Schrader et al.		424	450	
	6,146,887	Nov. 14, 2000	Schrader et al.		435	320.1	
"	5,888,982	Mar. 30, 1999	Perrella et al.		514	44	
	5,888,821	Mar. 30, 1999	Reszka		435	458	
	5,827,703	Oct. 27, 1998	Debs et al.		435	172.3	
	5,811,406	Sep. 22, 1998	Szoka, Jr. et al.		514	44	
	5,753,262	May 19, 1998	Wyse et al.		424	450	
	5,756,353	May 26, 1998	Debs		435	375	
	5,676,954	Oct. 14, 1997	Brigham		424	450	
	5,658,565	Aug. 19, 1997	Billiar et al.		424	93.21	
	5,635,182	Jun. 3, 1997	McCoy et al.		424	192.1	
	5,614,503	Mar. 25, 1997	Chaudhary et al.		514	44	
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	5,212,073	May 18, 1993	Rollins et al.		435	69.5	<u> </u>
	FORE	EIGN PATENT OR	PUBLISHED FOREIG	N PATENT A	PPLICATION	NCNC	
Examiner's Initials	Document Number	. Publication Date	Country or Patent Office		Class	Subclass	Translation (Yes/No)
	WO 99/17798	Apr. 15, 1999	WIPO		<u> </u>		Yes
	WO 99/15206	Apr. 1, 1999	WIPO				Yes
	WO 99/05303	Feb. 4, 1999	WIPO				Yes
	WO 98/44953	Oct. 15, 1998	WIPO				Yes

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.

SUBSTITUTE	FORM PTO-1449	IIS DEDARTMEN	NT OF COMMERCE	Attorney D	ocket No	50125/05	1001
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			Serial No.			ř	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicant		Jens Schl	letter
		Filing Date		March 15	, 2002		
		Group		Not Assig	ned Yet		
37 C.F.R. §1.				IDS Filed		October 9	0, 2002
		Ĭ	UBLISHED FOREIGN				
Examiner's Initials	Document Number	. Publication Date	Country o Patent Offi		Class	Subclass	Translation (Yes/No)
	WO 98/44934	Oct. 15, 1998	WIPO				Yes
	WO 98/41192	Sep. 24, 1998	WIPO				Yes
	WO 98/22144	May 28, 1998	WIPO				Yes
	WO 98/17814	Apr. 30, 1998	WIPO				Yes
	WO 98/13026	Apr. 2, 1998	WIPO				Yes
	WO 98/12324	Mar. 26, 1998	WIPO				Yes
	WO 98/10748	Mar. 19, 1998	WIPO				Yes
	WO 98/08489	Mar. 5, 1998	WIPO				Yes
	WO 97/35982	Oct. 2, 1997	WIPO				Yes
	WO 96/41873	Dec. 27, 1996	WIPO	- "			Yes
	WO 96/40964	Dec. 19, 1996	WIPO	·			Yes
	WO 96/40265	Dec. 19, 1996	WIPO				Yes
	WO 96/40067	Dec. 19, 1996	WIPO				Yes
	W0 96/34109	Oct. 31, 1996	WIPO				Yes
•	WO 96/27393	Sep. 12, 1996	WIPO				Yes
	WO 96/26745	Sep. 6, 1996	WIPO				Yes
	WO 96/24333	Aug. 15, 1996	WIPO				Yes
	WO 96/20208	Jul. 4, 1996	WIPO				No
	WO 96/18372	Jun 20, 1996	WIPO				Yes
	EP 0891780A2	Jan. 20, 1999	EPO				No
	FR 2 754 272	Apr. 10, 1998	FRANCE				No
	DE 19729769A1	Jul. 11, 1997	GERMANY				No
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	DE 4411402A1	Mar. 31, 1994	GERMANY				No
EXAMINER			DATE CO	NSIDERED			

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	INFORMATION DISCLOSURE	Applicant	Jens Schletter	120			
	STATEMENT BY APPLICANT (Use several sheets if necessary)	Filing Date	March 15, 2002	2			
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